Although most people will experience a traumatic event at some point in their life, only a subset (~5%–10%) will develop significant psychological symptoms in the aftermath. 1-3 Given that epidemiologic studies suggest that an enduring stress response is not an inevitable consequence of trauma, there has been substantial interest in identifying pretrauma factors that may confer risk for trauma-related increases in symptomatology.4-6

Natural disasters are a unique occasion to explore such factors, given that exposure to such events is typically unrelated to pretrauma individual differences. In 2012, Hurricane Sandy hit New York State and surrounding regions, affecting 60 million people. The hurricane destroyed 200,000 homes, cost an estimated $50 billion in damages, and resulted in 113 US deaths.7 We recently used a preexisting longitudinal study located in Long Island to examine the impact of Hurricane Sandy on internalizing symptoms in a large sample of children. We focused on temperamental fear and a biomarker of risk for anxiety, the error-related negativity (ERN). The ERN is a negative deflection in the event-related potential (ERP) occurring when individuals make mistakes and is increased in anxious individuals.

Method: The final sample consisted of 223 children who had undergone an observational assessment of fear at age 3 years and an electroencephalogram assessment of the ERN at age 6 years. At the age 9 year assessment, internalizing symptoms were assessed, and then again after the hurricane (~65 weeks later).

In the current study, we build on these findings and examine to what extent prehurricane neural biomarkers may interact with temperamental risk to predict increases in symptoms after the hurricane. Although some work in adults has identified neural biomarkers of risk that predict increases in posttrauma anxiety,10-13 very little work has yet focused on biomarkers that confer risk for posttrauma anxiety symptoms in children.14 The significance of this gap is underscored in the literature, which notes that anxiety frequently begins early in life15-17 and often results in chronic impairment through adulthood.18-20 In addition, some research suggests that children may be particularly vulnerable to the impact of environmental adversity.21 Understanding early biomarkers that may confer risk for the development of anxiety in response to stressful life events may be important for understanding the etiopathogenesis of anxiety, and also may have considerable implications for the implementation and development of psychiatric intervention and prevention strategies.

One promising biomarker of anxiety is an event-related potential (ERP), the error-related negativity (ERN).22-24 The ERN, which is a negative deflection in the ERP occurring when participants make mistakes during a speeded response task,25,26 has been found to be increased in individuals with anxiety in more than 40 studies to date.23,24 In addition,
unaffected first-degree relatives of individuals with obsessive-compulsive disorder (OCD) are characterized by an increased ERN.\textsuperscript{27,28} The ERN appears to be trait-like, demonstrating excellent test–retest reliability in children and adults for up to 2 years,\textsuperscript{29,30} and has been shown to be moderately heritable (45%–60%).\textsuperscript{31} The ERN has also been shown to prospectively predict the onset of new anxiety disorders in young children, while controlling for baseline symptoms,\textsuperscript{22} suggesting that an increased ERN may be a viable early-emerging biomarker of risk for anxiety. Similarly, two prospective studies found that among children high in early temperamental behavioral inhibition, an increased ERN predicted anxiety later in development.\textsuperscript{32,33} For example, Lahat et al.\textsuperscript{22} found an interaction between early childhood behavioral inhibition (BI, assessed at 24 and 36 months of age) and the ERN measured at age 7 years in predicting symptoms of social phobia in children 2 years later, such that children characterized by high BI and an increased ERN were particularly at risk for developing anxiety.

The current study sought to extend these findings to examine whether the ERN and temperamental fear may confer risk for stress-induced increases in anxiety symptoms. This question was addressed in an ongoing longitudinal sample that was exposed to Hurricane Sandy and had pre-hurricane assessments of temperamental fear, ERN, and internalizing symptoms. Temperamental fearfulness was assessed when the children were 3 years old via laboratory-based observations; 3 years later, when the children were approximately 6 years old, the ERN was measured while children completed a Go/NoGo task. Children returned to the laboratory 3 years later (around age 9 years), and mothers reported on child internalizing and externalizing symptoms. Approximately 8 weeks after Hurricane Sandy hit Long Island, children’s mothers completed a questionnaire assessing exposure to hurricane-related stressors as well as children’s internalizing and externalizing symptoms. We have previously shown that higher levels of hurricane-related stress predicted increases in anxiety symptoms, but only among children characterized by increased temperamental fear. We seek to extend those findings by testing the hypothesis that an increased ERN would also relate to increased vulnerability and that the children who are at highest risk for hurricane-related increases in internalizing symptoms are characterized by both high fear and high ERN. We begin by examining broad internalizing symptoms, as well as a comparable model using externalizing symptoms to examine specificity. We hypothesized that neither fear nor the ERN would predict increases in posthurricane externalizing symptoms. However, we hypothesized that an elevated ERN would relate to increased vulnerability for increases in internalizing symptoms that would be driven by post-hurricane increases in anxiety symptoms.

METHOD

The sample for the current study consisted of 223 children (initially between the ages of 3.00 and 4.09 years, \(SD = 0.26\)) and their mothers from a larger longitudinal study of 559 children (for details, see Olinio et al.\textsuperscript{8}). Participants were recruited through a commercial mailing list and screened to select children with no significant medical problems or developmental disabilities and who had at least one English-speaking biological parent who could participate in the study. As part of this longitudinal study, mothers and children were assessed at 3-year intervals (i.e., at approximately 3 years of age, 6 years of age, and 9 years of age). An additional 50 children were added at the age 6 assessment to increase the diversity of the sample.

Of the total sample, 446 had completed age 9 assessments before Hurricane Sandy, and these families were contacted to complete a post-Sandy assessment. In all, 81% of the families (362 families) agreed to participate. Of these families, 15 were excluded because they were not on Long Island when Hurricane Sandy occurred, and 15 were excluded because they entered the study at age 6 and did not have laboratory measures from age 3 years. Of the 332 remaining children, 223 had adequate ERN data from the age 6 assessment. To be included in ERN analyses, participants had to have at least six artifact-free error trials,\textsuperscript{30,34} which led to the exclusion of 74 children. A total of 34 children had not completed the age 6 electroencephalogram (EEG) assessment, and ERP data from one participant was lost because of a technical error. Children who participated in the current study did not differ from the larger sample on any of the study variables (pre- or post-Sandy internalizing Child Behavior Checklist [CBCL] symptoms, total Hurricane Sandy stressors, age 6 ERN, or age 3 fear) (all \(p > .10\)).

The final sample thus consisted of 223 children (95 female and 128 male). The average age at the first assessment was 3.57 years (SD = 0.26). The average age at the second assessment was 6.13 years (SD = 0.38). The average age at the third assessment was 9.19 years (SD = 0.32). Children’s posthurricane symptoms were assessed an average of 8.39 weeks (SD = 1.39) after Hurricane Sandy occurred, which was an average of 64.97 weeks (SD = 32.59) since their age 9 assessment. Overall, 91% of the children were of white non-Hispanic ethnicity. All of the families in the current study lived in Federal Emergency Management Agency (FEMA)–declared disaster zones.

Procedure

During the first assessment, participants completed the Laboratory Temperament Assessment Battery (Lab-TAB; Goldsmith HH et al., 1995), which provided a measure of temperamental fear. During the second assessment, when children were approximately 6 years old, they completed a Go/NoGo task while EEG was recorded to measure the ERN. At the third assessment, when children were approximately 9 years old, mothers completed the CBCL,\textsuperscript{35} as a measure of their children’s internalizing and externalizing symptoms. Six weeks after Hurricane Sandy, mothers were contacted and asked to complete the CBCL again, in addition to a measure of hurricane-related stress. This study was approved by the local institutional review board.

Measures

Child Fearfulness. At age 3 years, children participated in 12 age-appropriate episodes from the Lab-TAB (Goldsmith HH et al., 1995) that were designed to elicit a range of temperament-relevant behaviors and emotions described in detail.\textsuperscript{8} During each videotaped episode, instances of facial, vocal, and bodily fear were rated on a four-point intensity scale and then summed across each episode. These ratings were then averaged across the 12 episodes to yield a composite score for fear (\(r = .63\)). Interrater reliability of the
fear composite, assessed using the intraclass correlation coefficient (ICC), was 0.64 (n = 35).

Internalizing and Externalizing Symptoms Pre- and Post-Sandy. At the age 9 and post-Sandy evaluations, children’s internalizing and externalizing symptoms were assessed via mother’s reports on the CBCL. The CBCL is a 113-item parent-report checklist assessing emotional and behavioral problems in children. In the current study, we focused on composite internalizing and externalizing symptoms. At the age 9 assessment, items were rated for the past 6 months. In the post-Sandy assessment, mothers were asked to rate symptoms in the period since Hurricane Sandy (an average of 8.39 weeks).

Hurricane Sandy Stressors. Approximately 6 weeks after the hurricane, mothers were asked to complete a 13-item Web-based questionnaire on the effects of the hurricane on families and children. The items were drawn from previous questionnaires developed for Hurricane Katrina and Hurricane Ike. The first 8 items were rated on a five-point scale (1 = not at all affected, 5 = extremely affected), and included damage to home, safety threats, exposure severity, financial hardship, children fear for their safety, life disrupted by the hurricane, difficulty finding gasoline, difficulty getting food, water, or warmth, and children quarreling or complaining more than usual. Items 9 and 10 were rated on duration (1 = 0 days, 5 = 2 weeks or more) and included loss of power and school closure. Items 11 to 13 were rated as present or absent and included questions regarding injury or robbery, applying to FEMA or the Red Cross, and being evacuated from the home. To create an overall sum of exposure severity, nondichotomous items were scored 1 and dichotomous items (for detailed description, see Kopala-Sibley et al.). Total scores ranged from 0 to 11 (mean = 2.28, SD = 2.18), and the scale showed adequate internal consistency (α = .73).

Error-Related Brain Activity. At age 6 years, children were administered a Go/No-Go task with Presentation software (Neurobehavioral Systems, Inc.) to measure the ERN previously described. The stimuli were green equilateral triangles presented in one of four different orientations for 1,200 milliseconds in the middle of the monitor. On 60% of the trials, triangles were vertically aligned and pointed up, 20% were vertically aligned and pointed down, 10% were tilted slightly to the left, and 10% were tilted slightly to the right. Children were told to respond to upward-pointing triangles by pressing a button, and to withhold a response to all other triangles. Following the presentation of the triangle and prior to the start of the next trial, a small gray fixation cross was displayed in the middle of the monitor for a random interval that ranged from 300 to 800 milliseconds. Children completed four blocks of 60 trials each.

The Active Two system (Biosemi, Amsterdam, Netherlands) was used to acquire EEG data, and 32 Ag/AgCl-tipped electrodes were used with a small amount of electrolyte gel (Signa Gel; Bio-Medical Instruments Inc., Warren, MI) at each electrode position. All data were sampled at 512 Hz. The ground electrode during acquisition was formed by the common mode sense active electrode and the driven right leg passive electrode.

Data were processed offline with Brain Vision Analyzer (Brain Products, Gilching, Germany). EEG data were re-referenced to the nose and were high and low pass filtered at 1.0 Hz and 30 Hz, respectively. EEG segments of 1,500 milliseconds were extracted from the continuous EEG, beginning 500 milliseconds before responses. Data were then corrected for eye movements and blink artifacts, and any of the following criteria were met: a voltage step of more than 50 microvolts between data points, a voltage difference of 300 microvolts within a single trial, or a voltage difference of less than 0.5 microvolt within 100-millisecond intervals. After this, data were visually inspected for remaining artifacts. ERP averages were created for error and correct trials, and a baseline of the average activity from −500 to −300 milliseconds before the response was subtracted from each data point.

The ERP and behavioral results in the full sample have been previously reported. The error-related negativity (ERN) and correct-related negativity (CRN) were scored as the average voltage in the window between 0 and 100 milliseconds after response commission on error and correct trials, respectively; the CRN and ERN were quantified at Fz, where error-related brain activity was maximal. The delta ERN (ΔERN), thought to reflect error-specific activity, was calculated by subtracting the CRN from the ERN.

All statistical analyses were conducted using SPSS (Version 17.0) General Linear Model software, with Greenhouse-Geisser correction applied to p values with multiple-df, repeated-measures comparisons when necessitated by violation of the assumption of sphericity. A nonparametric bootstrapping method was used to conduct moderation analyses. To test interactions, an SPSS macro was used, which provided an estimate using 5,000 bootstrap samples. We examined a model in which age 9 (pre-Sandy) CBCL internalizing symptoms were controlled for and the three-way interaction between temperament fearfulness × Hurricane Sandy stressors × age 6 ΔERN was entered predicting post-Sandy CBCL internalizing symptoms in children. In this model, all main effects and two-way interactions were included as well (i.e., fear × stressors, fear × ΔERN, stressors × ΔERN). We then examined the same model, this time using age 9 CBCL externalizing symptoms (pre- and post-Sandy).

RESULTS

Descriptive Statistics and Bivariate Correlations

As previously reported, the ERP response was more negative following errors than correct responses (F = 222, p < .001) (Figure 1). Means and standard deviations as well as Pearson correlations are presented for all main study variables in Table 1. Hurricane stressors related to posthurricane internalizing symptoms (r(221) = 0.16, p < .05). In addition, prehurricane internalizing symptoms predicted posthurricane internalizing symptoms (r(221) = 0.56, p < .01), as well as posthurricane externalizing symptoms
TABLE 1 Pearson Correlation Coefficients and Means and Standard Deviations (SD) for the Difference Error-Related Negativity (ΔERN) Temperamental Fearfulness, Hurricane Stressors, Pre and Posthurricane Internalizing and Externalizing Symptoms

<table>
<thead>
<tr>
<th>Variables Entered</th>
<th>B</th>
<th>SE (N = 223)</th>
<th>t</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prehurricane internalizing symptoms</td>
<td>0.37</td>
<td>0.04</td>
<td>10.05**</td>
</tr>
<tr>
<td>Hurricane stressors</td>
<td>0.00</td>
<td>0.02</td>
<td>0.01</td>
</tr>
<tr>
<td>Fear</td>
<td>0.01</td>
<td>0.00</td>
<td>0.01</td>
</tr>
<tr>
<td>ΔERN</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Fear × hurricane stressors</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Hurricane stressors × ΔERN</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Overall model: total R²</td>
<td>0.36**</td>
<td>0.02**</td>
<td>4.61</td>
</tr>
</tbody>
</table>

Note: SE = standard error.

*p < .05, **p < .01

(r_{221} = 0.31, p < .01). Similarly, prehurricane externalizing symptoms predicted posthurricane externalizing symptoms (r_{221} = .59, p < .01), as well as posthurricane internalizing symptoms (r_{221} = 0.38, p < .01). Neither child fearfulness nor the ΔERN was significantly related to any other study variables (all p > .05). In addition, neither sex nor child age (any of the assessments) related to any of the study variables (all p > .05).

Predicting Posthurricane Increases in Internalizing Symptoms

We examined a model in which baseline age 9 (pre-Sandy) CBCL internalizing symptoms were entered as a covariate and the three-way interaction between temperamental fearfulness × hurricane stressors × ΔERN was entered predicting post-Sandy CBCL internalizing symptoms in children, as well as all main effects and two-way interactions. Results are displayed in Table 2. The overall model was significant (F_{224} = 15.37, p < .001), accounting for 36% of the variance. In this model, there was a main effect of prehurricane internalizing symptoms (β = 0.37, SE = 0.04, t = 10.05, p < .001, 95% CI = 0.29–0.44) and hurricane stressors (β = 0.26, SE = 0.10, t = 2.48, p < .01, 95% CI = 0.05–0.46) in predicting posthurricane internalizing symptoms. No other main effects or any two-way interactions reached significance (all p > .10).

However, this was qualified by a significant three-way interaction between temperamental fearfulness, hurricane stressors, and the ΔERN in predicting changes in child internalizing symptoms after Hurricane Sandy (β = −0.02, SE = 0.01, t = −2.42, p < .01, 95% CI = −0.04 to −0.01). Post hoc analyses suggested that the two-way interaction between child fearfulness and stressors was significant only among children with a larger ΔERN (β = 0.25, SE = 0.10, t = 2.44, p < .01, 95% CI = 0.05–0.45), such that children who were higher in temperamental fear at age 3 years and experienced elevated Hurricane Sandy stressors were characterized by subsequent increases in internalizing symptoms, but only when they were also characterized by an increased ΔERN at age 6 years. Using the Johnson-Neyman technique for identifying regions of significance, the two-way interaction between fear and stressors was significant only among children characterized by an ΔERN with values between −30 and −12 (between 1 and 3 SDs more negative than the mean; all p < .01). As depicted in Figure 2, children rated as fearful in the laboratory whose mothers were perceived to be affected by hurricane stressors had significant increases in symptoms, but only when they also had a large ΔERN (Figure 2, top; based on a median split). Among children with a small ΔERN (Figure 2, bottom; based on a median split), there is no significant interaction between temperamental fearfulness and hurricane stressors in predicting change in internalizing symptoms. When child age and sex were entered into the model as covariates, the pattern of results did not change; that is, there was a significant three-way interaction among fear, hurricane stressors, and the ΔERN in predicted changes in child internalizing symptoms (β = −0.02, SE = 0.01, t = −2.39, p < .01, 95% CI = −0.04 to −0.01).
Among children with a small ΔERN (bottom; based on a median split), there was no significant interaction between temperamental fearfulness and hurricane stressors in predicting changes in internalizing symptoms (F(1,105) = 0.39, p = .53). CBCL = Child Behavior Checklist.

To further examine this interaction and to determine specificity, we repeated the same model three times, with each of the subscales within the internalizing composite (anxious/depressed, withdrawn/depressed, and somatic complaints) entered as both the pre- and posthurricane symptoms. When we did this, the three-way interaction between temperamental fear and the ERN confers risk for increased psychological symptoms following environmental stressors. These findings build upon previous work in this sample that found an interaction between early temperamental fearfulness and hurricane stressors in predicting increases in anxiety symptoms.9

The current findings were consistent with previous work that has examined the ERN in conjunction with early childhood temperament in relation to developmental increases in anxiety.32,33 For example, Lahat et al. found that children characterized by high BI (at 24 and 36 months of age) and an increased ERN (at 7 years of age) were particularly at risk for developing anxiety symptoms (at 9 years of age). This is consistent with the current findings in that early temperamental fear and increased ERN in middle childhood predicted risk for subsequent increases in anxiety symptoms in later childhood. Results from the current study extended previous work by examining stress-mediated increases in anxiety symptoms following a natural disaster, suggesting that temperament, neural biomarkers, and environmental stressors all contribute to developmental trajectories leading to anxious outcomes.

Predicting Posthurricane Increases in Externalizing Symptoms

Finally, we examined a model in which baseline age 9 (pre-Sandy) CBCL externalizing symptoms were entered as a covariate and the three-way interaction between temperamental fearfulness × hurricane stressors × ΔERN was entered predicting post-Sandy CBCL externalizing symptoms in children, as well as all two-way interactions. The overall model was significant (F(2,214) = 19.70, p < .001), accounting for 42% of the variance. In this model, there was a main effect of prehurricane externalizing symptoms (β = 0.54, SE = 0.05, t = 12.06, p < .001, 95% CI = 0.45–0.63). However, there was no main effect of hurricane stressors on changes in externalizing symptoms (β = 0.10, SE = 0.14, t = 0.76, p = .44, 95% CI = −0.17 to 0.38). In addition, no other main effects, nor any two-way interactions or the three-way interaction, reached significance (all p > .10).
within the internalizing composite. We found that while the model was significant in predicting increases in the anxious/depressed subscale, the model did not reach significance predicting changes in the withdrawn/depressed or somatic complaints subscales. Given that the anxious/depressed subscale uniquely indexes anxiety symptoms and has been shown to be strongly associated with clinical anxiety diagnoses, findings suggest that temperamentally fearfulness and increased childhood ERN may be risk factors for environmentally induced increases specific to internalizing psychopathology with a significant component of anxiety. Future studies should explore whether these factors confer risk for stress-induced increases in specific types of anxiety.

Moreover, although fearful temperament is an important risk factor for anxiety disorders, only a subset of fearful children end up actually developing an anxiety disorder. In light of this, there has been great interest in identifying markers and further characterizing these heterogeneous developmental pathways. The present findings suggest that the ERN and stress further moderate the path from fearful temperament to anxious outcomes. Future studies should explore other environmental, biological, and cognitive processes that may characterize the pathway from fearful temperament to anxiety disorders.

The current findings add to previous work examining biomarkers that confer risk for increases in symptoms following trauma or stressful life events. Other biomarkers of risk include skin conductance, heart rate, glucocorticoid receptor pathway components, and amygdala reactivity to threat. The current study adds to these findings by examining a neural biomarker measured early in development (children were 6 years old). In addition, the biomarker was not measured proximally to the environmental stressor: Hurricane Sandy hit Long Island approximately 4 years after the ERN was measured. These findings suggest that error-related neural activity may be a relatively trait-like vulnerability marker that is established early in the course of development, and thereby may be an important target of early intervention.

It should be noted that in the current study, elevations in symptoms were measured 6 weeks after Hurricane Sandy. Presumably, stressors during this time were ongoing (e.g., cleanup, assessing damage) and elevations in anxiety symptoms may be expected and adaptive. Therefore, interpreting increases in symptoms during this time as pathological may not be appropriate. Future studies should explore to what extent the ERN can predict who will experience prolonged and maladaptive increases in anxiety symptoms following a stressful life event. In addition, it should be noted that although the current study focused on stressful life events, future work should examine whether a similar pattern of results is found in relation to traumatic events.

One limitation of the current study includes the concern that posthurricane psychological symptoms were assessed at the same time as hurricane-related stress, and both were reported by mothers. Although this introduces the possibility of shared method and informant variance between symptoms and stressors, it would not account for the interaction between temperament and ERN in predicting changes in symptoms. Another limitation is that we were unable to complete diagnostic interviews following Hurricane Sandy. Hence, we do not know whether children in the current study would have met criteria for posttraumatic stress disorder following the hurricane and to what extent our current findings would extend to this diagnostic category. Moreover, the current sample consisted largely of English-speaking, white, middle-class children. It is unknown to what extent the current findings would generalize to a less homogeneous population. Considering that children growing up in families with low socioeconomic status are particularly likely to encounter environmental adversity, it is important for future work to explore markers of risk for environmentally induced increases in psychopathology in more diverse samples and in response to other types of trauma.

References